

#### Neoadjuvant therapy in stage III NSCLC and the (changing) role of the surgeon

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#### Declaration of interests K.J. Hartemink, MD, PhD

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# TOPICS

#### Staging

Landmark papers Guidelines Operability and resectability (Neo)adjuvant ICI trials Questions and challenges Conclusions





# **NSCLC STAGE III; A HETEROGENEOUS DISEASE**

		Stage					Hellow
• Sta	age IIIA	Occult carcinoma	TX	NO	MO	T3N1	T4 one
	$T1_{2}$ 2hN2	Stage 0	Tis	NO	MO	TANO	ades su
•	114-20102	Stage IA	T1	NO	MO	14N0	d/or tr
•	T3N1	Stage IA1	T1mi	N0	MO	N12_3	an lar
			T1a	NO	MO	112-3	
٠	T4N0	Stage IA2	T1b	NO	MO		
		Stage IA3	T1c	N0	MO		
		Stage IB	T2a	NO	MO		CARALER N. W.
C+		Stage IIA	T2b	NO	MO		
510	age IIIB	Stage IIB	T1a-c, T2a, b	N1	MO		
•			Т3	N0	MO		- Dime
•	IId-IZDIN5	Stage IIIA	T1a-c, T2a, b	N2	MO		NO
•	T3-4N2		Т3	N1	MO		NZ
			T4	N0, N1	MO		com as per doe
		Stage IIIB	T1a-c, T2a, b	N3	MO		
-			T3, T4	N2	MO		nat 🖉 💐 👸 📴 🎉 👘
Sta	age IIIC	Stage IIIC	T3, T4	N3	MO	IASLC INTERNATIONAL ASSOCIATION FOR THE STUDY OF LINK CANCER	
	0	Stage IV	Any T	Any N	M1	SECOND EDITION	
٠	T3-4N3	Stage IVA	Any T	Any N	M1a, M1b	Staging Manual in	
		Stage IVB	Any T	Any N	M1c	Thoracic Oncology	MAR STATISTICS



8<sup>th</sup> Edition TNM Classification for Lung Cancer, Staging manual in Thoracic Oncology, 2<sup>nd</sup> edition by IASLC 2017



# NSCLC STAGE III, STAGING, PROGNOSIS AND TREATMENT

- Staging NSCLC provides information about prognosis
- However, in guidelines: choice of treatment is based on clinical staging
- Questions in stage III NSCLC
  - For this heterogeneous stage of disease; 1 single treatment (or combination of treatments) fits all subgroups?
  - What is the role of surgery in the treatment of stage III NSCLC?



Proposed	Events / N	MST	24 Month	60 Month
IA1	68 / 781	NR	97%	92%
IA2	505/3105	NR	94%	83%
IA3	546/2417	NR	90%	77%
IB	560 / 1928	NR	87%	68%
IIA	215 / 585	NR	79%	60%
IIB	605 / 1453	66.0	72%	53%
IIIA	2052 / 3200	29.3	55%	36%
IIIB	1551/2140	19.0	44%	26%
IIIC	831 / 986	12.6	24%	13%
IVA	336 / 484	11.5	23%	10%
IVB	328/398	6.0	10%	0%

Overall survival by clinical stage



8<sup>th</sup> Edition TNM Classification for Lung Cancer, Staging manual in Thoracic Oncology, 2<sup>nd</sup> edition by IASLC 2017



# TOPICS

#### Staging Landmark papers

Guidelines Operability and resectability (Neo)adjuvant ICI trials Questions and challenges Conclusions





## LANDMARK PAPERS 2007-2023



## LANDMARK PAPERS; PACIFIC (5-YEAR OS)



## LANDMARK PAPERS; ADAURA (5-YEAR OS)



# TOPICS

#### Staging Landmark papers **Guidelines** Operability and resectability

(Neo)adjuvant ICI trials Questions and challenges Conclusions





# **GUIDELINES; ESMO**

- Distinction resectable vs. unresectable LA-NSCLC (stage III)
- Definition <u>unresectable</u> stage III (as discussed in MDT):
  - complete resection (R0) (± induction treatment) is not possible (?)
- Treatment: cCRT (cisplatinum-based, 60-66 Gy) ۲
- eUpdate (4-2020): adjuvant ICI (PACIFIC, i.e. durvalumab)

#### Recommendation

The consolidation administration of the immune checkpoint inhibitor durvalumab 1 to 42 days after the end of chemoradiotherapy has demonstrated a survival benefit in unresectable stage III NSCLC and is recommended in patients whose tumours express PD-L1 on ≥1% of tumour cells and whose disease has not progressed following platinum-based chemoradiotherapy (as per the EMA approved indication {[I, A;



ESMO

CLINICAL PRACTICE GUIDELINES

Early and locally advanced non-small-cell lung cancer (NSCLC): ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up<sup>†</sup> P. E. Postmus<sup>1</sup>, K. M. Kert<sup>1</sup>, M. Oudkerk<sup>2</sup>, S. Senan<sup>4</sup>, D. A. Waller<sup>5</sup>, J. Va

enktor<sup>4</sup>, C. Eschu<sup>1</sup> & S. Pet



Postmus. et al. Ann Oncol 2017 ESMO Guidelines Committee; eUpdate 2020



# **GUIDELINES; ESMO**

- Definition <u>resectable</u> stage III (as discussed in MDT):
  - Stage III NSCLC, with a single station N2, nodal downstaging (?) after induction treatment, R0 resection deemed possible (no pneumonectomy)
- Treatment:
  - Resection + adjuvant chemotherapy
  - (neoadjuvant) cCRT + resection
  - eUpdate (9-2021): adjuvant osimertinib (IB-IIIA) (EGFR) (ADAURA)

Osimertinib is indicated for the <u>adjuvant</u> treatment after complete tumour resection in adult patients with stage IB-IIIA NSCLC whose tumours have *EGFR* exon 19 deletions or exon 21 L858R substitution mutations [I, A].



ESMO

CLINICAL PRACTICE GUIDELINES

Early and locally advanced non-small-cell lung cancer (NSCLC): ESMO Clinical Practice Guidelines for





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Conclusions





# **'OPERABLE' NSCLC**

- Multidisciplinary patient assessment (and treatment planning)
- Is the patient 'operable'?
  - Performance status
  - Cardiac function
  - Lung function (spirometry, (bicycle) ergospirometry)
  - Comorbidity (...ies) and medication
  - Patient preferences

- What is the surgical approach; thoracotomy, sternotomy, VATS, robot?
- What is the extend of surgery required (for an R0 resection)?
- Is my patient fit for this kind of surgical approach?
- What kind of risks is the patient (and treating team) willing to take?
- Alternative treatment(s) possible?





# **'RESECTABLE' NSCLC**



Postmus et al. Ann Oncol 2017 ESMO Guidelines Committee; eUpdate 2021 NCCN Guidelines, NSCLC Version 2.2024 Brandao et al. J Thorac Oncol 2023



# **'RESECTABLE NSCLC'**

No consensus between tumor board members



First multidisciplinary meeting (ERS, ETOP, ESP, ESTRO and IASLC), 3-2023, Copenhagen



Postmus, et al. Ann Oncol 2017 ESMO Guidelines Committee; eUpdate 2021 NCCN Guidelines, NSCLC Version 2.2024 Brandao, et al. J Thorac Oncol 2023



# TOPICS

Staging Landmark papers Guidelines Operability and resectability **(Neo)adjuvant ICI trials** Questions and challenges Conclusions





#### NSCLC STAGE III; WHAT IS THE PROBLEM AND WHAT DO WE NEED?



IA2	505/3105	NR	94%	83%
IA3	546 / 2417	NR	90%	77%
IB	560 / 1928	NR	87%	68%
IIA	215 / 585	NR	79%	60%
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8<sup>th</sup> Edition TNM Classification for Lung Cancer, Staging manual in Thoracic Oncology, 2<sup>nd</sup> edition by IASLC 2017



# **GOALS IN TREATING STAGE III NSCLC**





## **'NEW' MULTIMODALITY STRATEGIES, INCL. SURGERY**

#### Many multimodality trials, introducing

- Immune Checkpoint Inhibition (ICI/IO)
- Chemotherapy + ICI
- Radiotherapy + ICI ٠
- Chemoradiation + ICI •
- Targeted therapy (EGFR-TKI, ALK, etc.)

#### In what order?

- Neoadjuvant
- Perioperative
- Adjuvant

#### Neoadjuvant/perioperative chemo-ICI

- CheckMate 816 ٠
- NADIM-II
- AFGFAN ٠
- **NEOTORCH** •
- CheckMate 77T •
- KeyNote 671 •
- NEOSTAR .
- NEOCOAST .
- CANOPY-N ٠
- **RATIONALE 315** ٠
- IMpower 030

...

#### Adjuvant (chemo-) ICI •

- KeyNote 091
- IMpower 010 •
- BR21/NVALT 24 •
- ANVIL
- ACCIO
- ...





### **ADJUVANT TREATMENT; IMPOWER-010**

#### Adjuvant IO trial in resectable stage IB-IIIA NSCLC (with longest follow-up)



#### Stratification factors

Sex | Disease stage | Histology | PD-L1 status

#### **Primary endpoint**

Investigator-assessed DFS tested hierarchically

#### Key secondary endpoints

OS in ITT | DFS in PD-L1 TC ≥50% stage II-IIIA | 3- and 5-year DFS





### **IMPOWER-010; 5-YEAR UPDATE**





### **IMPOWER-010; 5-YEAR UPDATE**







# **NEOADJUVANT TREATMENT; CHECKMATE 816**

- (first phase III) RCT NSCLC IB-IIIA (N = 358, stage III = 64%) (r) (3x nivo/chemo or 3x chemo) + resection
- Endpoints: EFS (progression/recurrence/death), pCR







THE NEW ENGLAND TODENAL # REDICING

OBIGINAL ARTICLE
Neoadjuvant Nivolumab plus Chemotherapy
in Resectable Lung Cancer
P.M. Forde, J. Spicer, S. Lu, M. Provercio, T. Missidomi, M.M. Avad, E. Feljo,
5.8. Broderick, J.R. Brahmer, S.J. Swanson, K. Ker, C. Wang, T.-E. Calasau,
G.S. Spicer, T. Tarata, H. Hu, X.-N. Chen, M. Liternan, E. L. Voles, J.M. Taube,
C. Drange, J. Cal, J. Fore, A. Jardovski, D. Ball, M. Sausen, D. Pandya,
C.Y. Calart, and N. Grines, Lor the Checkblart Bit is hivestigators<sup>4</sup>

Forde, et al. N Engl J Med 2022

# **CHECKMATE 816; 4-YEAR UPDATE**

#### • EFS benefit most in stage IIIA and PD-L1 $\geq$ 1%





Forde, et al. N Engl J Med 2022 Spicer, et al. ASCO 2024



## **PERIOPERATIVE TREATMENT; NADIM-I**

#### NADIM I study; (first) perioperative chemo-IO in stage III NSCLC (2019)





Provencio et al. Lancet Oncol 2020 Provencio et al. J Clin Oncol 2022



### NADIM-I; 5-YEAR UPDATE

- Most benefit PFS/OS in pCR
- ctDNA clearance predicts PFS/OS





Provencio et al. Lancet Oncol 2020 Provencio et al. J Clin Oncol 2022



Courtesy Mariano Provencio

#### **PERIOPERATIVE TREATMENT; CHECKMATE 77T**

#### BARCELONA ESVO

Perioperative nivolumab vs placebo in patients with resectable NSCLC: clinical update from the phase 3 CheckMate 77T study

Mariano Provencio Pulla,<sup>1</sup> Mark M. Awad,<sup>2</sup> Tina Cascone,<sup>3</sup> <u>Jonathan D. Spicer</u>,<sup>4</sup> Jie He,<sup>6</sup> Shun Lu,<sup>6</sup> Aurelia Alexandru,<sup>2</sup> Yasutaka Watanabe,<sup>6</sup> Robin Cornelissen,<sup>9</sup> Ludmila de Oliveira Muniz Koch,<sup>10</sup> Jaroslaw Kuzdrai, <sup>11</sup> Lean-Louis Puloj,<sup>10</sup> Petra Hoffknecht, <sup>11</sup> Jannelle E. Gray, <sup>14</sup> Cinthya Coronado Erdmann,<sup>1</sup> Jaclyn Neely, <sup>19</sup> Vipul Devas, <sup>15</sup> Sumeena Bhatia, <sup>11</sup> Fumihiro Tanaka<sup>44</sup>

Hospital Universitaria Pareta ne Herra, Nachi, Spain, 'Bana Farner Cancer Instituta. Booton, Ak, Unic, 'Phie University of Teoris Nito Notersita. Cancer Center, Hospital University Head Conference and Pareta University. Cancer: "National Conference Center Center Cancer Cancer Center, Hospital University Head Conference and Pareta University Internet Media Conference Center Center Cancer Cancer Center, Hospital University Head Conference and Pareta University Internet Center, University Cancer Center, Pareta University, Hospital University, Hospital University, Head Conference, Toronas K. Cancer Center, Barboras, Hospital University, Head Conference, Pareta University, Head University, Hospital University, Barboras, Hospital, Hospital, Hospital, Barboras, Head University, Head University, Head University, Hospital University, Hospital, Hospital, Hospital, Hospital, Barboras, Head, Huspital, Hospital, Hospital, Hospital, Hospital, Barboras, Hanger, L. U.S., "Historia, Marcha Pareta University, University, Head University, University, Hospital University, Hospital, Haspital, Japan, Hanger, L. U.S., "Historia, Marcha University, University, Hospital University, Hospital, Haspital, Japan, Hanger, L. U.S., "Historia, Marcha University, University, Hospital, Hospital,





Stage IIA-IIIB (N2) NSCLC

N=461

Endpoint: EFS (pCR, MPR, OS)



## **CHECKMATE 77T; CLINICAL OUTCOMES BY pCR**







L U Leids Universitair Spicer, et al. ESMO 2024 Medisch Centrum

MC

## **CHECKMATE 77T; CLINICAL OUTCOMES BY ctDNA**

Courtesy Jonathan Spicer







## **NEOADJUVANT/PERIOPERATIVE TREATMENT; CM816 VS. CM77T**

Courtesy Patrick Forde



Forde et al. N Engl J Med 2022 Cascone et al. N Engl J Med 2024



### **NEOADJUVANT/PERIOPERATIVE TREATMENT; CM816 VS. CM77T**



NETHERLANDS DATE: CANCER INSTITUTE



### **NEOADJUVANT/PERIOPERATIVE TREATMENT; CM816 VS. CM77T**



Courtesy Patrick Forde





### **PERIOPERATIVE TREATMENT; CANCELLATION OF SURGERY**

After neoadjuvant treatment (trials including N2+);	
78-93% has surgery	

Cancellation of surgery does not seem to depend on N2 status (data not shown)

Trial Exp. Arm	Neo- adjuvant Compliance	Surgery
NADIM 2	94%	93 %
AEGEAN	86%	81 %
Neo-TORCH	NR	90 %
KEYNOTE-671	74.5%	82 %
CheckMate 77T	85%	78 %
RATIONALE 315	93.4% 34.5% 4 cycles	84%



Forde et al. N Engl J Med 2022 Cascone et al. N Engl J Med 2024 Opitz et al. ESMO 2024 Provencio et al. ASCO 2024



## SURGERY FOR STAGE III-N2; NADIM-II AND CM77T



Provencio et al. N Engl J Med 2023

Provencio et al. WCLC 2024

# SURGERY FOR STAGE III-N2; NADIM-II AND CM77T



# SURGERY FOR STAGE III-N2; NADIM-II AND CM77T





Cascone et al. N Engl J Med 2024 Spicer et al. ESMO 2024

Provencio et al. WCLC 2024



Trial	IMpower0101	KEYNOTE-0912	BR319	CheckMate -816 <sup>3</sup>	AEGEAN4	Neotorch <sup>5</sup>	KEYNOTE-671 <sup>6</sup>	CheckMate -77T7	RATIONALE-3158
Timing	Adjuvant	Adjuvant	Adjuvant	Neoadjuvant	Perioperative	Perioperative	Perioperative	Perioperative	Perioperative
Size	1005	1177	1415 (477)	358	802	500	797	461	453
Agent I/O	Atezolizumab (PD-L1)	Pembrolizumab (PD-1)	Durvalumab (PD-L1)	Nivolumab (PD-1)	Durvalumab (PD-L1)	Toripalimab (PD-1)	Pembrolizumab (PD-1)	Nivolumab (PD-1)	Tislelizumab (PD-1)
No. cycles	16	18	12	3	16	17	13	16	12
Inclusion	Completely resected IB (>4cm)-IIIA (7 <sup>th</sup> )	Completely resected IB (>4cm)-IIIA (7 <sup>th</sup> )	Completely resected IB (>4cm)-IIIA (7 <sup>th</sup> ) ESTS	Resectable IB (>4cm)-IIIA (7 <sup>th</sup> )	Resectable II-IIIB (8 <sup>th</sup> ) by lobectomy	Resectable II-IIIB (8 <sup>th</sup> )	Resectable II-IIIB (8 <sup>th</sup> )	Resectable II-IIIB (8 <sup>th</sup> )	Resectable II-IIIA (8 <sup>th</sup> )
Stage IB+II/III, %	59/41	72 / 28	71/39	36/64	29/71	20/80	30/70	35/65	41/59
Primary endpoint	DFS hierarchical	DFS, DFS in PD-L1 ≥50%	DFS in PD-L1 ≥25% & EGFR/ALK WT	pCR, EFS	pCR, EFS	MPR, EFS	EFS, OS	EFS	MPR, EFS
Chemotherapy	Cisplatin doublet	Platinum doublet encouraged	Platinum doublet if not ineligible	Platinum doublet	Platinum-based	Platinum-based	Cisplatin doublet	Platinum doublet	Platinum doublet
EGFR/ALK	Included (15%)	Included (7.4%)	Included	No documented mutation (WT Asia)	No documented mutation	WT	Included (7%)	No EGFR, no documented ALK	WΤ

1. Felipe E et al. Lancet. 2021;398:1344-1357. 2. O'Brien M et al. Lancet Onc. 2022;23:1274-1286. 3. Forde P et al. N Engl J Med. 2022;386:1973-1985. 4. Heymach J et al. AACR 2023. Abstract CT005. 5. Lu S et al. ASCO 2023. Abstract 8501. 6. Wakelee H et al. N Engl J Med. 2023;389:491-503. 7. Cascone T et al. ESMO 2023. Abstract LBA1. 8. Yue D et al. ELCC 2024. Abstract 1080. 9. Goss, ESMO 2024





### ICI; NEOADJUVANT VS. ADJUVANT





#### **NEOADJUVANT VS. PERIOPERATIVE VS. ADJUVANT STRATEGY?**



In resectable stage III NSCLC, there are no trials comparing neoadjuvant chemo-ICI vs. perioperative vs. adjuvant (chemo-) ICI



Forde, et al. N Engl J Med 2022 Felip, et al. Lancet 2021



### WE NEED TRIALS COMPARING DIFFERENT STRATEGIES

#### The NEW ENGLAND JOURNAL of MEDICINE

#### ORIGINAL ARTICLE

#### Neoadjuvant–Adjuvant or Adjuvant-Only Pembrolizumab in Advanced Melanoma

S.P. Patel, M. Othus, Y. Chen, G.P. Wright, Jr., K.J. Yost, I.R. Hyngstrom,
S. Hu-Lieskovan, C.D. Lao, L.A. Fecher, T.-G. Truong, J.L. Eisenstein, S. Chandra,
J.A. Sosman, K.L. Kendra, R.C. Wu, C.E. Devoe, G.B. Deutsch, A. Hegde,
M. Khalil, A. Mangla, A.M. Reese, M.I. Ross, A.S. Poklepovic, G.Q. Phan,
A.A. Onitilo, D.G. Yasar, B.C. Powers, G.C. Doolittle, G.K. In, N. Kokot,
G.T. Gibney, M.B. Atkins, M. Shaheen, J.A. Warneke, A. Ikeguchi, J.E. Najera,
B. Chmielowski, J.G. Crompton, J.D. Floyd, E. Hsueh, K.A. Margolin, W.A. Chow,
K.F. Grossmann, E. Dietrich, V.G. Prieto, M.C. Lowe, E.I. Buchbinder,
J.M. Kirkwood, L. Korde, J. Moon, E. Sharon, V.K. Sondak, and A. Ribas



#### Courtesy Lizza Hendriks





# TOPICS

Staging Landmark papers Guidelines Operability and resectability (Neo)adjuvant ICI trials **Questions and challenges** Conclusions





# **QUESTIONS AND CHALLENGES**

- How to deal with borderline resectable stage III tumors?
- ICI; which agent, what dose, how many cycles, what combination (chemotherapy, radiotherapy, chemoradiation)?
- ICI neoadjuvant, perioperative or adjuvant?
- Which or what biomarker(s) will guide in treatment decision making?
- Role of targeted therapy (EGFR-TKI, ALK, etc.) in stage III NSCLC needs to be clarified
- Organ preserving (no surgery) treatment possible in stage III NSCLC (follow-up with ctDNA and radiology)? (data not shown)
- Role of salvage surgery after systemic treatment needs to be evaluated (data not shown)





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# **CONCLUSIONS; IN GENERAL**

- Neoadjuvant (and/or adjuvant) (chemo-) ICI new standard in stage III NSCLC (no driver mutation)
  - Neoadjuvant chemo-ICI superior vs. chemo in resectable (stage III) NSCLC
    - At least for pCR, MPR, EFS
    - OS data are not yet mature
  - Adjuvant ICI seems beneficial for certain subgroups (stage II-III, PD-L1 ≥50%)
- Trials are needed to elucidate <u>optimal strategy</u>; neoadjuvant, perioperative or adjuvant treatment
- <u>Biomarker</u> studies (PD-L1, ctDNA (clearance), pCR, EGFR, ALK, etc.) are needed to guide treatment choice





# **CONCLUSIONS; SURGERY**

- Definition about resectability varies between hospitals and surgical teams, in terms of experience and risk tolerance
- More and better criteria (e.g. anatomical and functional aspects) are needed for decision making about resectability
- Resectability should preferably be evaluated after neoadjuvant therapy (data not shown)
- Incorporating biological parameters and criteria
  - Avoid futile resections
  - Offer demanding resections to patients with favorable biology







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